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ATTORNEY DOCKET NO. 50125/051001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jens Schletter

Art Unit:

Serial No.: 10/088,248

Examiner:

Filed: March 15, 2002

Customer No.: 21559

Title: PHARMACEUTICAL COMPOSITION IN THE FORM OF A  
NUCLEIC ACID/LIPID COMPLEX, ITS PRODUCTION AND USE IN  
GENE THERAPY

Assistant Commissioner For Patents  
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449, copies of which are enclosed.

Submission of this statement is not a representation that a search has been made, nor is information included in this statement an admission that the information is material to patentability.

This statement is being filed before the receipt of a first Office action on the merits.

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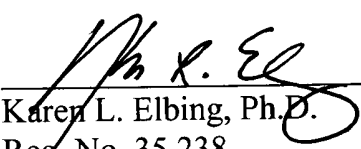
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Respectfully submitted,

Date: 8 October 2002

  
Karen L. Elbing, Ph.D.  
Reg. No. 35,238

Clark & Elbing LLP  
101 Federal Street  
Boston, MA 02110  
Telephone: 617-428-0200  
Facsimile: 617-428-7045

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(Use several sheets if necessary)

(37 C.F.R. §1.98(b))

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## FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

| Examiner's Initials | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation (Yes/No) |
|---------------------|-----------------|------------------|--------------------------|-------|----------|----------------------|
|                     | WO 99/17798     | Apr. 15, 1999    | WIPO                     |       |          | Yes                  |
|                     | WO 99/15206     | Apr. 1, 1999     | WIPO                     |       |          | Yes                  |
|                     | WO 99/05303     | Feb. 4, 1999     | WIPO                     |       |          | Yes                  |
|                     | WO 98/44953     | Oct. 15, 1998    | WIPO                     |       |          | Yes                  |

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|------------------------|--------------------|---------------------|-----------------------------|-------|----------|-------------------------|
|                        | WO 98/44934        | Oct. 15, 1998       | WIPO                        |       |          | Yes                     |
|                        | WO 98/41192        | Sep. 24, 1998       | WIPO                        |       |          | Yes                     |
|                        | WO 98/22144        | May 28, 1998        | WIPO                        |       |          | Yes                     |
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|                        | WO 98/13026        | Apr. 2, 1998        | WIPO                        |       |          | Yes                     |
|                        | WO 98/12324        | Mar. 26, 1998       | WIPO                        |       |          | Yes                     |
|                        | WO 98/10748        | Mar. 19, 1998       | WIPO                        |       |          | Yes                     |
|                        | WO 98/08489        | Mar. 5, 1998        | WIPO                        |       |          | Yes                     |
|                        | WO 97/35982        | Oct. 2, 1997        | WIPO                        |       |          | Yes                     |
|                        | WO 96/41873        | Dec. 27, 1996       | WIPO                        |       |          | Yes                     |
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|                        | WO 96/27393        | Sep. 12, 1996       | WIPO                        |       |          | Yes                     |
|                        | WO 96/26745        | Sep. 6, 1996        | WIPO                        |       |          | Yes                     |
|                        | WO 96/24333        | Aug. 15, 1996       | WIPO                        |       |          | Yes                     |
|                        | WO 96/20208        | Jul. 4, 1996        | WIPO                        |       |          | No                      |
|                        | WO 96/18372        | Jun 20, 1996        | WIPO                        |       |          | Yes                     |
|                        | EP 0891780A2       | Jan. 20, 1999       | EPO                         |       |          | No                      |
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| INFORMATION DISCLOSURE<br>STATEMENT BY APPLICANT<br>(Use several sheets if necessary)   |  | Serial No.          | 10/088,248       |
| (37 C.F.R. §1.98(b))  |  | Applicant           | Jens Schletter   |
|   |  | Filing Date         | March 15, 2002   |
|   |  | Group               | Not Assigned Yet |
|   |  | IDS Filed           | October 9, 2002  |
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